CERTIFICATE OF MAILING

Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/740,072

Applicant

Michael L. Howard et al.

Title

AUDIO STATUS COMMUNICATION FROM AN

EMBEDDED DEVICE

Filed

December 18, 2003

TC/A.U.

(not yet assigned)

(no

Examiner

(not yet assigned)

Docket No.

2291.2.19.1

Customer No.

21552

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), the enclosed Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. § 102 or § 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37

C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, transmitted herewith are:

1. A completed copy of Form(s) PTO/SB/08a and/or PTO/SB08b "Information

Disclosure Statement by Applicant" listing the patents, publications and other information being

submitted for consideration; and

2. A legible copy of each patent, publication and other item of information in written

form listed on the enclosed Form(s) PTO/SB/08a and PTO/SB/08b, except for copies of U.S.

patents and published U.S. patent applications which are not required for applications filed after

June 30, 2003.

As this application was filed after June 30, 2003, copies of the U.S. patents and published

U.S. patent applications listed on the enclosed Form PTO/SB/08a are not required and, therefore,

not included herewith.

Respectfully submitted,

Reg. No. 42,273

Attorney for Applicant(s)

Date: March 19, 2004

MADSON & METCALF Gateway Tower West 15 West South Temple, Suite 900 Salt Lake City, Utah 84101

Telephone: 801/537-1700

MAR 2 2 2004

Sheet

PTO/SB/08a (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Inder the Februark Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Of

Complete if Known				
Application Number	10/740,072			
Filing Date	December 18, 2003			
First Named Inventor	Michael L. Howard			
Group Art Unit				
Examiner Name				
Attorney Docket Number	2291.2.19.1			

U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan	
Initials *		Number - Kind Code ^{2 (if known)}		Cited Document	Passages or Relevant Figures Appear	
	U1	US-5,774,529	06/30/1998	Johannsen et al.		
	U1	US-5,311,581	05/10/1994	Merriam et al.		
	U2	US-5,729,596	03/17/1998	Reeder et al.		
-	U3	US-5,987,105	11/16/1999	Jenkins et al.		
	U4	US-6,327,365-B1	12/04/2001	Kiger, II		
	U5	US-5,997,170	12/07/1999	Brodbeck		
	U6	US-6,211,782	04/03/2001	Sandelman et al.		
	U7	US-6,160,477	12/12/2000	Sandelman et al.		
	U8	US-6,147,601	11/14/2000	Sandelman et al.		

1		 	
	Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) . ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperbork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Complete if Known

Application Number 10/740,072

Filing Date December 18, 2003

First Named Inventor Michael L. Howard

Group Art Unit

Examiner Name

Attorney Docket Number 2291.2.19.1

(use as many sheets as necessary)
Sheet 1 Of 1

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials * Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, jour serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			al, T 2	
	A1	Austin Energy Web page, "Become a Power Partner with Austin Energy", pp. 1-2.		
	A2	Austin Energy Web page, "Honeywell Superstat", pp.1-2.		
	A3	Honeywell Web page, "Energy Management", p.1.		
	A4	Honeywell Web page, "Remote Control for Your Home's Heating/Cooling System", pp.1-2.		
	A5	Honeywell Web page, "Honeywell Home Controller Gateway", pp.1-2.		
	A6	Honeywell Web page, "Your Home: Performance and Monitoring", pp.1-3.		
	A7	Honeywell Web page, "Home Controller User Guide", Product Release Version 1.0.x, pp.1-39, Copyright 2000, Honeywell, Inc.		

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional) . ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.